

IFW  
Docket No.: L7350.0007  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Toshihiro Nakajima et al.

Application No.: 10/576,281 ✓

Confirmation No.: 9483

Filed: February 21, 2007

Art Unit: N/A

For: METHOD OF INHIBITING SECRETASE  
ACTIVITY

Examiner: Not Yet Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in the Assignment information. The Filing Receipt currently does not list the recorded Assignment for the above-identified application.

Please make the necessary correction so that Locomogene, Inc. is listed on the Filing Receipt as the Assignee for the published application.

We have attached the Notice of Recordation of Assignment for your reference.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

No fee is required. However, The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper

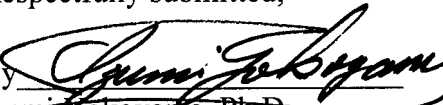
Application No.: 10/576,281

Docket No.: L7350.0007

hereafter filed in this application by this firm) to our Deposit Account No. 50-2215, under Order No. L7350.0007

Dated: September 12, 2007

Respectfully submitted,

By   
Izumi Yokoyama, Ph.D.

Registration No.: 60,351  
DICKSTEIN SHAPIRO LLP  
1177 Avenue of the Americas  
New York, New York 10036-2714  
(212) 277-6500  
Attorney for Applicant



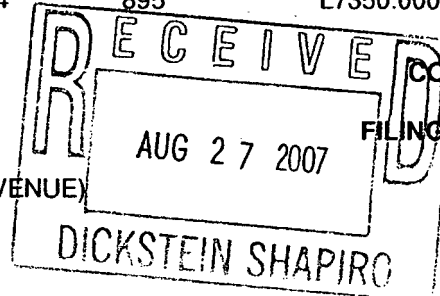
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov



APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/576,281	02/21/2007	1614	895	L7350.0007	18	3

32172  
DICKSTEIN SHAPIRO LLP  
1177 AVENUE OF THE AMERICAS (6TH AVENUE)  
NEW YORK, NY 10036-2714



CONFIRMATION NO. 9483

FILING RECEIPT

Date Mailed: 08/21/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

**Applicant(s)**

Toshihiro Nakajima, Kanagawa, JAPAN;  
Tetsuya Amano, Kanagawa, JAPAN;  
Lei Zhang, Tokyo, JAPAN;  
Rie Ikeda, Kanagawa, JAPAN;  
Satoshi Yamasaki, Tokyo, JAPAN;  
Naoko Yagishita, Kanagawa, JAPAN;

*Assignment for Published Application: Locomodene, Inc., Japan*  
**Power of Attorney:** The patent practitioners associated with Customer Number 32172

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP04/15950 10/20/2004

**Foreign Applications**

JAPAN 2003-359704 10/20/2003

**If Required, Foreign Filing License Granted:** 08/17/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is  
**US10/576,281**

**Projected Publication Date:** 11/29/2007

**Non-Publication Request:** No

**Early Publication Request:** No

DSMO FILE NO. L7350.0007

DUE: \_\_\_\_\_

C/L: \_\_\_\_\_

ENTERED BY: JCA

ATTY: TRL

**\*\* SMALL ENTITY \*\***

**Title**

Method of Inhibiting Secretase Activity

**Preliminary Class**

514

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

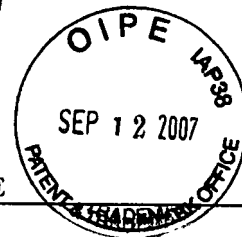
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof, unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

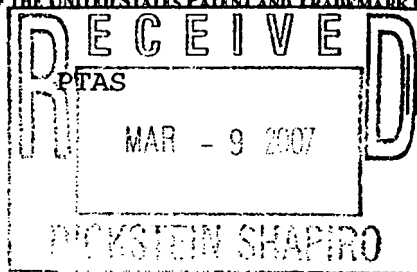


UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 02, 2007

IZUMI YOKOYAMA, PH.D.  
DICKSTEIN SHAPIRO LLP  
1177 AVENUE OF THE AMERICAS  
41ST FLOOR  
NEW YORK, NY 10036-2714



\*103374647A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/21/2007

REEL/FRAME: 018953/0211  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: L7350.0007

ASSIGNOR:

NAKAJIMA, TOSHIHIRO

DOC DATE: 07/03/2006

ASSIGNOR:

AMANO, TETSUYA

DOC DATE: 07/03/2006

ASSIGNOR:

ZHANG, LEI

DOC DATE: 06/26/2006

ASSIGNOR:

IKEDA, RIE

DOC DATE: 07/03/2006

ASSIGNOR:

YAMASAKI, SATOSHI

DOC DATE: 07/03/2006

ASSIGNOR:

YAGISHITA, NAOKO

DOC DATE: 07/03/2006

DSMO FILE NO. L7350.0007

C/L: \_\_\_\_\_

ENTERED BY: A. H.

ATTY: CEH/19

018953/0211 PAGE 2

ASSIGNEE:

LOCOMOGENE, INC.  
#400, LEADING VENTURE PLAZA-2  
75-1 ONO-CHO, TSURUMI-KU YOKOHAMA-  
SHI  
KANAGAWA 2300046, JAPAN

SERIAL NUMBER: 10576281

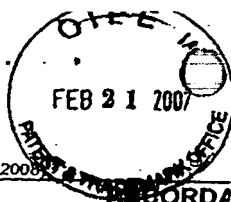
FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD OF INHIBITING SECRETASE ACTIVITY

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

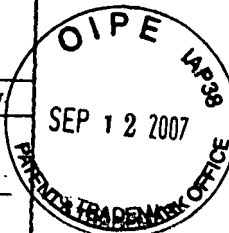


02-26-2007

DEPARTMENT OF COMMERCE  
Patent and Trademark Office



103374647



To the Director of the U.S. Patent and Trademark Office

Use new address(es) below

1. Name of conveying party(ies):

Toshihiro Nakajima, Tetsuya Amano, Lei Zhang,  
Rie Ikeda, Satoshi Yamasaki, Naoko Yagishita

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Locomogene, Inc.

Internal Address:

Street Address:

#400, Leading Venture Plaza-2  
75-1 Ono-cho, Tsurumi-ku  
Yokohama-shi

City: Kanagawa 2300046

State:

Country: JAPAN

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): June 26, 2006, July 3, 2006

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

4. Application or patent number(s):

A. Patent Application No.(s)

10/576,281

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Izumi Yokoyama, Ph.D.  
DICKSTEIN SHAPIRO LLP

Internal Address: Atty. Dkt.: L7350.0007

Street Address: 1177 Avenue of the Americas  
41st Floor

City: New York

State: NY Zip: 10036-2714

Phone Number: (212) 277-6688

Fax Number: (212) 277-6501

Email Address: yokoyamai@dicksteinshapiro.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☒ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 1001

Expiration Date 01/11

b. Deposit Account Number

Authorized User Name

9. Signature:

Signature

February 21, 2007

Date

Charles E. Miller - 24,576

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

02/22/2007 MBERHE1 00000112 10576281

01 FC:8021

40.00 OP